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| Item No. | Classification: Open | Date: 8 March 2013 | Meeting Name: Cabinet Member for Health and Adult Social Care |
| Report title: | | Adult Social Care Innovation Fund 2012 – Awards | |
| Ward(s) or groups affected: | | All wards; Adult Social Care service users | |
| From: | | Strategic Director of Children's and Adults' Services | |

RECOMMENDATION

1. That the cabinet member approves the recommended allocations from the innovation fund for voluntary sector organisations set out in Appendix 1 to the value of £238,051 to support the implementation of the adult social care vision and the implementation of personal budgets for all our customers.

BACKGROUND INFORMATION

2. Personalisation is transforming the way the council supports people with eligible care needs. It provides them with the funds to directly purchase the care and support that best suits them, providing real choice and control over their independence.
3. In order for this choice to have real meaning, there is a need for a vibrant, affordable and flexible market of care in Southwark. We therefore need to transform the market from the traditional statutory focussed, inflexible model to one that is flexible, innovative and responsive to the demands of individuals.
4. To support this transformation, a series of innovation funds were established in 2011 and 2012. These initial funds were agreed by cabinet and were:
 - Adult Social Care Open Access Innovation Grant
 - Mental Health Day Opportunities Innovation Grant
 - Learning Disability Innovation Grant.
5. Just under £600,000 was awarded to 25 organisations via the initial funds. This money was allocated from within existing departmental funds in a time of significant budget reductions as it was recognised that this investment was essential to the development of a vibrant voluntary sector that could respond to the new demands under personalisation.
6. The vision for adult social care in Southwark¹, highlights how care and support services need to be more effective and focused on individuals so that they can maintain their independence and get involved in their local community. The funds helped the council to find more innovative ways of helping our residents to support themselves and reduce the reliance on formal council services.

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http://www.southwark.gov.uk/info/100010/health_and_social_care/2086/vision_for_adult_social_care_in_southwark

7. The funds were a key aspect of the department's work to reshape services within the context of reduced budgets and the introduction of personalisation. Their aims were to enable innovative ideas to be implemented, leading to the sustainable provision of new and effective ways of support that is in line with what individuals want. Helping to support people to have more choice and control over how they live their lives and to be better able to engage with their local communities.
8. The funds are designed to support the start up and initiation cost of new initiatives, offering twelve months core funding for each initiative to enable organisations to properly establish and promote the service before having to rely on individual personal budgets to cover core costs. It is agreed with each provider that during the twelve months of funding they will develop a business model to have the service self sustainable by the end of the funding period. The funds are also not intended to replace lost statutory funding or continue provision of existing services and this has been made clear to all organisations.
9. These funds were well received by both local organisations as well as the people who benefitted from the services. The majority of the awards in these funds have achieved their intended outcomes and introduced new, innovative and effective ways to support our residents.
10. Given the success of these grants, a decision was made to award a further £166,300 to those organisations who had exceeded the expected outcomes and further investment would create increased outcomes for the department; as well as some organisations who did not originally receive an award, but their bids were deemed to be of value. This decision was taken by the Lead cabinet member for health& adult social care via an IDM in December 2012.
11. Given the success of the grants to date, a fourth innovation fund was agreed by the adult social care departmental management team in October 2012 and £200,000 was allocated to it. The purpose of this fund is to support the implementation of the adult social care vision and the implementation of personal budgets for all our customers. There were 5 categories for bidding which were:
 - Peer Support
 - Support Planning
 - Employment (for adults with a learning disability)
 - Co-production
 - Personal Assistants (PAs).
12. Approvals of grants to voluntary organisations over £2,500 can be considered by cabinet members via individual decision-making (as outlined in Part 3D, clause 5 of Southwark Council's Constitution 2012/13).

Timescale

13. The new fund was launched in December 2012 and applicants were given 7 weeks to complete their bids. This was more time than previous funds to account for feedback from bidders and the Christmas break.

| Activity | Timing |
|------------------------------------------------|---------------|
| Innovation Fund launched | 10 Dec 2012 |
| Deadline for receipt of completed applications | 28 Jan 2013 |

| Activity | Timing |
|-----------------------------------------------------------|------------------------|
| Shortlisting of applications | 29 Jan – 1 Feb |
| Further deliberations on shortlisted applications | 04 – 12 Feb |
| Final recommendations agreed | 13 Feb |
| Recommendations considered by DCRB | 20 Feb |
| IDM report on decisions published | After 22 Feb |
| IDM on awards taken | TBA |
| Notice of decision published and call-in period commences | TBA |
| End of call-in period | TBA |
| Notification to successful organisations | 20 March at the latest |

KEY ISSUES FOR CONSIDERATION

Eligibility for the fund and applications received

14. Organisations and groups known to the department via provision of services or interest in providing services were directly invited to apply for the innovation fund. The availability of the fund was also promoted via the council's website and Community Action Southwark (CAS)'s website.
15. The fund was open to organisations that met the following eligibility criteria.
 - You are one of the following third sector organisations: a properly constituted voluntary and community unincorporated charitable association; registered charity; registered as an industrial and provident society; community interest company; or a social enterprise.
16. A total of 61 separate applications totalling just under £1.5m were received within the required timeframe. A list of the organisations that submitted applications can be found at Appendix 2.

Assessment and proposals for funding

17. Guidance notes were provided on the application process and criteria against which applications would be assessed, and published/distributed alongside application forms. Details can be found at Appendix 3.
18. The criteria adopted represent those that have been in operation for a number of innovation funding programmes and have provided a clear and robust basis on which to evaluate applications.
19. All of the bids received were assessed and considered via a comprehensive scoring process which included council officers with expertise in key areas.
20. To consolidate all evaluations and provide final recommendations for decision by the cabinet member, an advisory panel was established, consisting of council officers from adult social care services and adult social care commissioning, as well as an external, independent senior representative from Community Action Southwark.
21. The advisory panel came together to discuss and agree final recommendations for awards, including indicative amounts (which needed to be recommended as the total

cost of the bids far exceeded the value of the innovation fund).

22. Given the high number of applications, the advisory panel need to ensure that only the most innovative and effective bids were recommended for funding. Bids were compared across categories against the key criteria of sustainability, addressing key gaps in the local market and evidence or research to support the effectiveness of the proposal.
23. These deliberations led to a list of bids recommended for funding, as well as a list of “highly commended” bids. These bids were also seen to have the potential to significantly contribute to the work of the department, however, given the limited amount of money available, they could not be recommended for funding. The panel did request that if alternative funding became available that these bids be considered.
24. There are some discussions underway for some of these bids to be funded via NHS personal social services monies. The bids to be funded by the NHS personal social services monies are not considered in this report as they follow a different approval process.
25. Utilising the income we receive from the NHS has enabled us to fund a much higher number of bids than originally expected without putting unnecessary strain on departmental budgets in a time of heavily restricted funds.
26. The total value of recommended awards within the innovation fund is £237,963.
27. All of the initiatives will be regularly monitored to measure how well the agreed outcomes and outputs are met. All organisations will be required to provide regular financial monitoring information.

Policy implications

28. The innovation fund supports the implementation of the adult social care Vision and supports the council’s fairer future promises.

Community impact statement

29. The reduction in available budget for social care services and the drive towards self managed person budgets will mean changes for individuals in receipt of services and their carers.
30. This is linked to the council’s overall statement on equalities as part of the budget strategy, which acknowledged that many of the savings proposals would impact on disabled people, older people and women, as these groups tend to have greater need of public services.
31. The innovation fund is a mitigating action that is focused on trying to support organisations to think about ways in which they can become more self-sustaining and support people to live independently and well at home, connecting with their local communities.
32. A key purpose of the innovation fund is to support and develop a more effective and sustainable voluntary and community sector at a time when there is less central funding available for the public sector.
33. Guidance notes for the innovation fund noted that the diversity of services available was important in supporting the diverse nature of the borough itself, and this would be

considered through the decision-making process.

34. It should be noted that the innovation fund was not intended to address all of the key impacts and mitigating actions identified or highlighted in the equality impact assessment carried out to evaluate the impact of the departmental budget reductions. Other actions will be taken forward by alternative routes and considered in detail as appropriate.

Overview of equality elements in all bids received

35. Of the 61 bids received from 45 organisations, 36 currently provide services based in the borough and nine do not.

Equality considerations for recommended awards

36. Recommendations have been made to grant 10 awards involving 13 different organisations.
37. Two of the organisations work with people with long term mental health needs and two with vulnerable adults with multiple needs including homelessness and mental health. Four organisations work with older adults (50+) and one with adults with a learning disability whose bid will increase the age range of the people they work with into older adults.
38. Two of the recommendations are for a bid from a partnership. This involves organisations with a shared vision, working together promoting social inclusion and community cohesion delivering effective and efficient services. This is important when we consider the requirements of the Public Sector Equality Duty (outlined in the Equality Act 2010²), which highlights the need to promote community cohesion and foster better relationships between groups with and without protected characteristics.

Age

39. One of the recommendations are to work solely with adults aged 18-64, and two have an age range encompassing 18+. Two are to work mainly with people aged 65+, although the projects will also work with people 50+. One bid specifically targets older adults (50+) and younger adults (18-64) with different needs.
40. The recommended initiatives support service users of any age to access community based services and as such there are no barriers in terms of equality.

Race/ethnicity

41. 10 of the 61 bids were from a BME organisation. Five of these have been recommended for funding under the identified NHS monies. Four of the bids were not supported as the bids were not evaluated as particularly strong in their categories.
42. One of the recommended organisations is from a BME group, however all the recommended services work with people from all ethnic backgrounds and have policies and procedures in place that are aimed at ensuring that the needs of

² Equality Act 2010 – Part 11, Chapter 1, 'Public Sector Equality Duty', 149(1)
http://www.legislation.gov.uk/ukpga/2010/15/pdfs/ukpga20100015_en.pdf

service users from BME groups are addressed and that barriers to access are removed.

Disability

43. All of the recommended initiatives are from groups specifically working with either people with learning disabilities; people with multiple and enduring mental illness; people with physical, sensory or neurological disabilities; older people; or those who support people with a disability.
44. In assessing the original bids the advisory panel favourably considered bids that work towards treating people, from whatever their background, age or disability, as valued citizens that are integral to the community.

Gender/gender identity (incl. gender reassignment)

45. All recommended initiatives are from organisations that have a demonstrable ethos of inclusion and human rights and no person would be excluded on the basis of their gender/gender identity.

Religion/belief

46. Recommended award initiatives do not refer to specific activities linked to religion or belief. Organisations will need to make sure they are taking appropriate steps to prevent and address discrimination, considering the different equality strands, as part of the requirements of the Equality Act 2010. This is evidenced through their policies and procedures and monitored through the monitoring process.

Sexual orientation

47. One of the recommended awards is targeting older LGBT residents with a view to improving their input to service delivery. None of the other applications received specifically suggested that they would offer services for people from the lesbian, gay or bisexual communities. All organisations will need to make sure they were taking appropriate steps to prevent and address discrimination, considering the different equality strands, as part of the requirements of the Equality Act 2010.

Pregnancy and maternity

48. These proposals and recommended awards are not expected to have a differential impact on the equality strand of pregnancy and maternity (as outlined in the Equality Act 2010); consequently it has not been considered in detail here.

Marriage and civil partnership

49. Marriage and civil partnership is included as a protected characteristic in the Equality Act 2010 in relation to the specific need to 'eliminate discrimination, harassment, victimisation or other prohibited conduct'. It is not anticipated that these proposals will have a differential impact on this equality strand in relation to the requirement to have due regard to this. Consequently, it is not considered in detail here.

Resource implications

50. Monitoring and support of the allocations will be met from within the existing staff resource.

Legal implications

51. The decision to approve the report recommendations is one which may be taken by the cabinet member for health and adult social care in line with the power conferred upon her under Part 3D of the council constitution.

Financial implications

52. The advisory panel has recommended awards are made that total £238,051. The original budget allocation for this fund was £200,000. While a number of bids have been removed from this fund, the total recommended award reflects that we received a wide range of high quality bids and there was a desire to support a number which brought the total marginally over the original limit of £200,000. In addition, given the importance of the innovation fund, with regard to the implementation of personal budgets in Southwark, it was felt appropriate to support bids to a slightly greater sum than the £200,000. The excess will be absorbed within the wider departmental budget.
53. The integration of NHS funding into the delivery of adult social care ensures both that the funding for this initiative is fully supported and that other health, wellbeing and personal social services initiatives can be considered in a similar way.
54. Allocations will be made to 9 projects with a range of values between £9,025 and £40,000. Details are in Appendix 1.

Consultation

55. The innovation fund was initiated in order to support the adult social care vision and the implementation of personal budgets for all our customers. This work contains regular and extensive engagement with residents and service users across the borough. Specific areas of our service development (such as the redesign of our day opportunities for adults with a learning disability) have and will include formal consultation procedures.
56. As stated previously the Advisory Panel included cross-council representation as well as an independent representative from the voluntary sector.

SUPPLEMENTARY ADVICE FROM OTHER OFFICERS

Director of Legal Services

57. Officers from legal services have advised that no legal concurrent is required for this report.

Departmental Finance Manager (NR/ASC/21/2/13)

58. This report recommends that the cabinet member for health and adult social care approves allocations from the innovation fund for various voluntary sector organisations, to support the implementation of the adult social care vision and the implementation of personal budgets for all our customers.

59. The original allocation for the innovation fund of £200,000 is available for disbursement and there are no adverse financial implications in providing the additional £38,051 over and above the original allocation. The organisations to whom funding is provided, will be expected to demonstrate that agreed outcomes are achieved in the most efficient and effective way.

APPENDICES

| No. | Title |
|-------------|-------------------------------------------------|
| Appendix 1 | List of awards in order of receipt |
| Appendix 2 | List of applications in order of receipt |
| Appendix 3a | Innovation Fund Criteria & guidance information |
| Appendix 3b | Innovation Fund application form |

AUDIT TRAIL

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|-------------------------------------------------------------------------|------------------------------------------------|--------------------------|
| Lead Officer | Sarah McClinton, Director of Adult Social Care | |
| Report Author | Peta Smith, Commissioning Manager | |
| Version | Final | |
| Dated | 7 March 2013 | |
| Key Decision? | Yes | |
| CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER | | |
| Officer Title | Comments sought | Comments included |
| Director of Legal Services | Yes | No |
| Departmental Finance Manager | Yes | Yes |
| Cabinet member | N/A | N/A |
| Date final report sent to Constitutional Team | 7 March 2013 | |